

FORM PTO-1390
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

1201-025 PCT

ON NO. 861-1000-00

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10 / 088963

INTERNATIONAL APPLICATION NO.
PCT/EP00/09263

INTERNATIONAL FILING DATE
21 September 2000

PRIORITY DATE CLAIMED

TITLE OF INVENTION

REFLECTOR FOR A REFLECTOR LAMP, LAMP AND CANOPY FOR A LAMP

APPLICANT(S) FOR DO/EO/US

Ottokar SCHUTZ, Werner MAURER, Urs SCHURMANN and Ralph WICHMANN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
 4. The US has been elected by the expiration of 19 months from the priority date (Article 31).
 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4).
 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. A FIRST preliminary amendment.

14. A SECOND or SUBSEQUENT preliminary amendment.

15. A substitute specification.

16. A change of power of attorney and/or address letter.

17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.

18. A second copy of the published international application under 35 U.S.C. 154(d)(4).

19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. Other items or information: Copy of Form PCT- Publication; Copy of the International Search Report; and Copy of International Preliminary Examination Report with Annexes

U.S. APPLICATION NO. if known, Sec 37 CFR 5.5
1019 08896INTERNATIONAL APPLICATION NO.
PCT/EP00/09263ATTORNEY'S DOCKET NUMBER
1201-025PCT21. The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	12 - 20 =		x \$18.00	\$ 0
Independent claims	1 - 3 =		x \$80.00	\$ 0

MULTIPLE DEPENDENT CLAIM(S) (if applicable)	+ \$270.00	\$
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TOTAL OF ABOVE CALCULATIONS =

\$ 890.00

Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

+

SUBTOTAL =

\$ 890.00

Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 130.00

TOTAL NATIONAL FEE =

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 40.00

TOTAL FEES ENCLOSED =

\$ 1,060.00

	Amount to be refunded:	\$
	charged:	\$

- a. A check in the amount of \$ 1,060.00 to cover the above fees is enclosed.
- b. Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-1540. A duplicate copy of this sheet is enclosed.
- d. Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Kenneth F. Florek, Esq.

HEDMAN & COSTIGAN, P.C.

1185 AVENUE OF THE AMERICAS, SUITE 2003

NEW YORK, NY 10036-2646

212-302-8989


 SIGNATURE
 KENNETH F. FLOREK
 NAME
 33,173
 REGISTRATION NUMBER

10/088963
JC13 Rec'd PCT/PTO 22 MAR 2002

Docket No.: 1201-025 PCT

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label # EL740690427US
Date of Deposit: March 22, 2002

I hereby certify that

(Transmittal Letter Form PTO1390; Copy of Int'l Application No.
PCT/EP00/09263/38pgs; Claims/40; (13) Sheets of Formal DrawingS Figs 1-14;
Declaration; Assignment with PTO 1595; Copy of the PCT Request; Copy Int'l Search
Report with 4 Cited references; Copy of Int'l Preliminary Examination Report w/
annexes; and Check for \$1,060.00/filing fee)

are being deposited with the United States Postal Service by "Express Mail Post Office to
Addressee" Service under 37 CFR '1.10 on the date indicated above and is address to:

Box PCT
Commissioner for Patents
Washington, DC 20231

Kenneth F. Florek, Esq., Registration No. 33,173

